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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Russell S. Kikuchi

Title

Method for Opening Profile Zipper

Express Mail Label No.

ET462273535US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Indicate on original and 4 duplicates for fee processing.)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **8**]  
(Indicate on original and 4 duplicates.)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 112) [Total Sheets **4**]
5. Oath or Declaration [Total Pages **12**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies), or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Serial No. \_\_\_\_\_

of prior application No. \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number of Bar Code Label

Model Number (No. of patent bar code label, 1-100)

or ☒

Correspondence address below

Name

Russell S. Kikuchi

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Country

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Telephone

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Fax

Name (Print/Type)

Russell S. Kikuchi

Registration No. (Attorney/Agent)

Signature

Russell S. Kikuchi

Date

11/15/01

Burden Hour Statement: This form is estimated to take 3.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on this amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC

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# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 60/249,650         |
| Filing Date          | 11/16/00           |
| First Named Inventor | Russell S. Kikuchi |
| Examiner Name        |                    |
| Group Art Unit       |                    |
| Attorney Docket No.  |                    |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☒ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit  
Account  
Number  
Deposit  
Account  
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fees indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description        | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 101 740                       | 201 370                       | Utility filing fee     | 370      |
| 106 330                       | 306 165                       | Design filing fee      |          |
| 107 510                       | 207 255                       | Plant filing fee       |          |
| 108 740                       | 208 370                       | Reissue filing fee     |          |
| 114 160                       | 214 80                        | Provisional filing fee |          |

SUBTOTAL (1) (\$ 370)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Extra Claims                    | Fee from Below | Fee Paid |
|---------------------------------|----------------|----------|
| Total Claims Independent Claims | 4 - 30** =     | X =      |
| Multiple Dependent              | 2 - 3** =      | X =      |
|                                 |                | 0        |

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  |
|-------------------------------|-------------------------------|--|
| 103 18                        | 203 9                         | Claims in excess of 20                                     |
| 102 84                        | 202 42                        | Independent claims in excess of 3                          |
| 104 280                       | 204 140                       | Multiple dependent claims if not valid                     |
| 109 84                        | 209 42                        | ** Reissue independent claims over original patent         |
| 110 18                        | 210 9                         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 105 130       | 205 65        | Surcharge - late filing fee or oath  |          |
| 127 50        | 227 25        | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130       | 139 130       | Non-English specification  |          |
| 147 2,620     | 147 2,620     | For filing a request for ex parte reexamination                            |          |
| 112 920*      | 112 920*      | Requesting publication of SR prior to Examiner action                      |          |
| 113 1,840*    | 113 1,840*    | Requesting publication of SR after Examiner action                         |          |
| 115 110       | 215 55        | Extension for reply within first month                                     |          |
| 116 400       | 216 200       | Extension for reply within second month                                    |          |
| 117 920       | 217 460       | Extension for reply within third month                                     |          |
| 118 1,440     | 218 720       | Extension for reply within fourth month                                    |          |
| 119 1,960     | 219 980       | Extension for reply within fifth month                                     |          |
| 119 320       | 219 160       | Notice of Appeal   |          |
| 120 320       | 220 160       | Filing a brief in support of an appeal                                     |          |
| 121 280       | 221 140       | Request for oral hearing   |          |
| 125 1,610     | 125 1,610     | Petition to institute a public use proceeding                              |          |
| 140 110       | 240 55        | Petition to revive - unavoidable   |          |
| 141 1,280     | 241 640       | Petition to revive - unintentional   |          |
| 142 1,280     | 242 640       | Utility reissue fee (or reissue)   |          |
| 143 450       | 243 225       | Design reissue fee   |          |
| 144 820       | 244 410       | Plant reissue fee  |          |
| 122 130       | 122 130       | Petitions to the Commissioner  |          |
| 123 50        | 123 50        | Processing fee under 37 CFR 1.57(a)  |          |
| 126 180       | 126 180       | Submission of information Disclosure Stmt                                  |          |
| 581 40        | 581 40        | Recording each patent assignment per property (times number of properties) |          |
| 146 740       | 246 370       | Filing a submission after final rejection (37 CFR § 1.128(a))              |          |
| 149 740       | 249 370       | For each additional invention to be examined (37 CFR § 1.125(b))           |          |
| 172 740       | 272 370       | Request for Continued Examination (RCE)                                    |          |
| 168 500       | 168 500       | Request for expedited examination of a design application                  |          |

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Complete if applicable

|                   |                           |                             |           |               |
|-------------------|---------------------------|-----------------------------|-----------|---------------|
| Name (Print/Type) | Russell S. Kikuchi        | Registration No. (if known) | Telephone | (808)671-0284 |
| Signature         | <i>Russell S. Kikuchi</i> |                             | Date      | 11/15/01      |

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